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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/724,270

11/26/2003

James McSwiggen

02-326-A (400.046US)

CONFIRMATION NO. 4392

FORMALITIES LETTER

OC000000013260790

020306 MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP 300 S. WACKER DRIVE 32ND FLOOR CHICAGO, IL 60606

Date Mailed: 07/16/2004

09/22/2004 MMEKONEN NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:2051

65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

 This application is objected to because it contains a data file on compact disc, which is not identified in the paper portion of the specification with a listing of all of the files contained on the disc. When portions of an application are contained on a compact disc, the paper portion of the specification must identify the compact disc(s) and list the files including name, file size, and creation date on each of the compact discs (see 37 CFR 1.52(e)). Applicant(s) are required to amend the specification to identify each disc and the

files contained on the disc including the file name, file size, and file creation date.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Q,

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 02-326-A (400/046US))

| CAUCIA: | (Case 110: 02-320-11) | (400/04005)) | |
|------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------|
| IN THE APPLICATION OF: James McSwiggen) | | | |
| Serial No. | 10/724,270 |) Examiner: | TBA |
| Filed: | November 26, 2003 |) Group Art Unit: | 1635 |
| Title | Nucleic Acid Treatment of Diseases or Conditions Related to Levels of RAS, HER2, and HIV | Confirmation No.: | 4392 |
| Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | |
| TRANSMITTAL LETTER | | | |
| | e are transmitting herewith the attached papers for the above-identified patent oplication: | | |
| | Copy of Notice to File Missing Parts; Amendment and Response to Notice to File Missing Parts of Application; Executed Declaration and Power of Attorney; Check in the amount of \$65.00; and Return Postcard | | |
| an | ENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES : Please charge by additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate by of this sheet is enclosed. | | |
| tha Un Co | CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: I hereby certify that I directed that this Transmittal Letter and the correspondence identified above be deposited with the United States Postal Service as "First Class Mail," addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below. | | |
| | Respectfully submitted, | | |
| Dated: | 9/15/04 By: | Andrew W. Williams | <u> </u> |

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